Send back your filled in form to: <u>Delphine.Clavreul@oecd.org</u> by <u>15 May 2017</u>

### Objective and scope of the call

This call seeks to support the implementation of the <u>OECD Principles on Water Governance</u> through the identification of evolving practices at local, basin, national and international levels which can inspire governments and stakeholders move from vision to action. The Principles provide standards for governments to design and implement effective, efficient, and inclusive water policies whilst sharing this responsibility with a broader range of stakeholders. They were developed using a multi-stakeholder approach within the framework of the <u>OECD Water Governance Initiative</u>, adopted by all OECD Member countries in 2015, and endorsed by 140 stakeholders from public, private and non-profit sectors gathered under the umbrella of the <u>Global Coalition for Good Water Governance</u>.

The objective is to gather/compile evidence and concrete examples of how a given city, region, country, basin organisation or other stakeholder has addressed governance gaps in water-related policy design and implementation, whether they relate to success stories or, conversely, to failed attempts from which helpful lessons can be learned for the future.

Practices submitted for consideration can cover a broad range of topics or countries; in particular they can:

- relate to any of the water-related **sub-sectors** (services, resources, flood, environment, etc.);
- target any scale of governance (local, basin, regional, national or global);
- concern any category of stakeholders (government, citizens, service providers, regulators, etc.); and
- be from an OECD and non-OECD country.

Practices submitted for consideration must adhere to the following minimum criteria:

- be relevant for at least one of the OECD Principles on Water Governance;
- refer to the implementation and/or design of i) a policy making process; ii) a governance instrument; or iii) any other process leading to tangible impacts in terms of improvement of water management outcomes;
- be result-oriented and highlight the potential for replicability;
- show substantial **progress** from a specified baseline towards (but not necessarily reaching) full implementation. Practices can also be ongoing "narratives" of collective learning.

### Selection, peer reviewing and dissemination of practices

Practices will be selected and peer reviewed by the members of the OECD Water Governance Initiative, on the basis of information submitted in this form. In particular, selection criteria will consider whether the practice provides:

- Sufficient evidence of **implementation** (completed or ongoing);
- Sufficient evidence of **assessment** of the effectiveness, success, results of the practice;
- Sufficient evidence of replicability (capacity to be adapted in part or in full to other contexts and places;
- Special attention will be given to practices that emphasise lessons learned from failed attempts;

Selected practices could be disseminated through one or several of the following channels:

- Presentations and discussions at the bi-annual plenary meetings of the Water Governance Initiative
- Dedicated "snapshots" in the OECD "Water Governance at a Glance" report to be released in 2018
- Presentations during the OECD-led governance sessions at the **8**<sup>th</sup> **World Water Forum** (Brasilia, 2018)
- Spotlight in the OECD Online Database on Water Governance to be launched in 2018.

#### How to fill in the form

The form comprises 3 sections, which have to be comprehensively filled in:

- Profile of your practice with key descriptions (who, what, when, why and where).
- Linking your practice to OECD Principles on Water Governance, to illustrate them in part or in full.
- Assessment of your practice in terms of costs and benefits, progress and replicability potential.

Practice providers are kindly requested to:

- Provide their **contact details** for follow-up, particularly during the peer-review;
- Submit the practice on behalf of their organisation;
- Coordinate with stakeholders involved in the practice within or outside their organisation;
- **Answer all questions** of the template in English, French or Spanish;
- Provide relevant sources and weblinks for the future inclusion in the database, which will not be processed for the peer-review or selection of the practice;

#### Basic definitions used in this form

- Water Governance encompasses rules and practices as well as political, institutional, and administrative processes through which stakeholders articulate their interests, their concerns are considered, decisions are taken and implemented, and decision-makers are held accountable in the development and management of water resources and delivery of water services.
- Stakeholder: any person or group who has an interest or stake in the topic, may be directly or indirectly affected by water policy, and/or have the ability to influence the outcome, either positively or negatively
- Scale for water management refers to the multitude geographical and administrative units that are relevant for the management of water resources and water services at catchment, city, basin, regional, national, transboundary or global levels.

#### Contact

For further questions regarding the call for water governance testimonials and/or how to fill in this form, please contact Ms. Delphine Clavreul (<u>Delphine.Clavreul@oecd.org</u>; +33 (0)1 45 24 87 73).



# Profile of your practice

1. About you or the key contact point to follow-up on the practice	
Full name:	
Organisation:	
Position:	
Email address:	
Phone number:	
2. Title of the prac	tice
3. Five key words	related to the practice:
1.	
2.	
3.	
4.	
5.	
4. Brief description (i.e. what is it about	n of the practice ? Who is involved? What is at stake? When does it happen? [300 words max]
	Iditional online material on the practice (to be referenced in the online database, as hat the selection/peer-review will be based only on the information provided in this form):

6. Location of the practice (i.e. country, region, city):		
7. Sc	ale of the practice:	
	National	
	Basin	
	Local	
	Other, please specify:	
(i.e. s	ef description of the so ocio-economic data, polit etc.) [300 words max]	cio-economic context of the practice: ical context, key legislation framing the practice (if any), past/existing obstacles (if
a Wi	nat are the key mileston	es of the practice? Please specify the main steps taken and decisions made
throu	ghout your practice [300]	words max].

10. Timeframe of the practice (please provide precise dates if possible):
Start date:
End date (if practice is not ongoing):
If the practice is periodical, please indicate the frequency at which it is implemented:
If the practice concerns a methodology or specific process, please indicate the <u>date of its inception</u> and the <u>average time</u> needed to implement it:
<b>11. Key stakeholders / partners involved in the practice</b> (please list the type of actors, their names and role in the practice) [300 words max]:
12. Water function(s) concerned ( based on/related to the targets of the Sustainable Development Goal 6):
Safe drinking water
Sanitation and water quality
Integrated water resources management (including water use for hydropower and irrigation)
Water-related disasters
13. Which Sustainable Development Goal(s) does your practice relate to?  Please consult the list <a href="here">here</a> and indicate the name, number and target of the goal(s) related to your practice.



Please indicate the OECD Principle(s) on Water Governance that your practice illustrates and how they have been implemented (tick all relevant boxes and explain in 250 words per principle max., as appropriate):

DCCII	implemented (not all relevant boxes and explain in 200 words per principle max., as appropriate).
	1: Clearly allocate and distinguish <b>roles and responsibilities</b> for water policymaking, policy implementation, operational management and regulation, and foster co-ordination across responsible authorities. Please specify how your practice illustrates Principle 1 [250 words max]:
	2: Manage water at the <b>appropriate scale(s)</b> within integrated basin governance systems to reflect local conditions, and foster co-ordination between the different scales.  Please specify how your practice illustrates Principle 2 [250 words max]:
	3: Encourage <b>policy coherence</b> through effective cross-sectoral co-ordination, especially between policies for water and the environment, health, energy, agriculture, industry, spatial planning and land use. Please specify how your practice illustrates Principle 3 [250 words max]:

4: Adapt the level of <b>capacity</b> of responsible authorities to the complexity of water challenges and to the set of competencies required to carry out their duties. Please specify how your practice illustrates Principle 4 [250 words max]:	to be met,
5: Produce, update, and share timely, consistent, comparable and policy-relevant water and water and information, and use it to guide, assess and improve water policy. Please specify how your practice illustrates Principle 5 [250 words max]:	vater-related
6: Ensure that governance arrangements help mobilise water finance and allocate financial re	esources in
an efficient, transparent and timely manner.  Please specify how your practice illustrates Principle 6 [250 words max]:	csources III

7: Ensure that sound water management <b>regulatory frameworks</b> are effectively implemented and enforced in pursuit of the public interest.  Please specify how your practice illustrates Principle 7[250 words max]:
8: Promote the adoption and implementation of innovative water governance practices across responsible authorities, levels of government and relevant stakeholders.  Please specify how your practice illustrates Principle 8 [250 words max]:
9: Mainstream integrity and transparency practices across water policies, water institutions and water governance frameworks for greater accountability and trust in decision-making.  Please specify how your practice illustrates Principle 9 [250 words max]:

10: Promote stakeholder engagement for informed and outcome-oriented contributions to water policy design and implementation.  Please specify how your practice illustrates Principle 10 [250 words max]:
11: Encourage water governance frameworks that help manage trade-offs across water users, rural and urban areas, and generations.  Please specify how your practice illustrates Principle 11 [250 words max]:
<b>12</b> : Promote regular <b>monitoring and evaluation</b> of water policy and governance where appropriate, share the results with the public and make adjustments when needed.  Please specify how your practice illustrates Principle 12 [250 words max]:



# Assessment of your practice

1. Ha	ave the costs/resources incurred by the practice been <u>estimated</u> ?
	Yes, specify which mechanism was used for doing so [100 words max]
	No, please specify why:
	/hat are the monetary and non-monetary <u>costs</u> incurred by the practice (i.e. financial/human urces, capacities required, etc.)? To the extent possible, please provide precise data [300 words max]:
face	that are some of the <u>obstacles</u> or challenges to the implementation of the practice that you have d (e.g. lack of trust among stakeholders, lack of funding, etc.)? How have these obstacles been ressed? [300 words max]
	there a mechanism in place to monitor the <u>progress</u> achieved by the practice what has been improved compared to the initial situation due to the practice)?
	Yes, specify which mechanism was used (e.g. reviews, surveys, etc.) [100 words max]:

5. Di	d you use specific indicators to review your practice over time?
	If yes, specify which ones [100 words max]
Ш	If no, why?
6. W	hat tangible progress has been achieved through your practice so far? [250 words max]
<b>/. Is</b> (i.e. 1	there a mechanism in place to evaluate the <u>impacts</u> of this practice the effect of the practice on water management, institutional performance and well-being)?
	If yes, specify which mechanism was used [100 words max]:
	No
8. W	hat is the achieved/expected impact of the practice? [250 words max]

9. Is that achievement sustainable and why? [250 words max]
10. What are the lessons learned from your practice? [250 words max]
11. Has your practice been adopted elsewhere?
Yes, specify where and how [250 words max]:
□ No

12. If	f your practice has not yet been applied elsewhere, do you consider it applicable/transferable to
	If yes, specify where and how [250 words max]:
	No
13. V	│
else	where? [300 words max]
14. <i>A</i>	Any other information/comments you deem relevant [300 words max]:

Thank you for sharing your water governance story